

**REPLY UNDER 37 CFR 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP ART UNIT 3695**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

	)	Confirmation No.: 5428
	)	
	)	Group Art Unit: 3695
	)	
Applicants: WEBER et al.	)	Examiner: Gregory A. Pollock
	)	
Application No.: 10/753,069	)	<b>AMENDMENT and RESPONSE</b> to
	)	September 13, 2011 Final Office Action
Filing Date: 1/8/2004	)	
	)	Docket No.: N09.005
For: SYSTEMS AND METHODS FOR	)	
TRADING ACTIVELY MANAGED	)	<b>PTO Customer Number 15462</b>
FUNDS	)	NYSE c/o
	)	Buckley, Maschoff & Talwalkar LLC
	)	50 Locust Avenue
	)	New Canaan, CT 06840

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Mail Stop AF (via EFS)  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Final Office Action mailed September 13, 2011, please amend the above-identified application as follows:

**Amendments to the claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.